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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	PTO SETO
Attorney Docket No. 42390P10312 (maximum 12 characters) First Named Inventor Douglas E. Crafts	3868
Title: Alignment of Fiber Optic Bundle to Array Waveguide Using Pins	25 U
Express Mail Label No. EL672753718US	jeg

	
Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231	

		17401111gton, D. O. 20231			
		N ELEMENTS			
See	MPEP ch	napter 600 concerning utility patent application contents.			
1.	<u> XX</u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)			
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)			
3.	XX	Specification (Total Pages 12) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure			
4.	_XX	Drawings(s) (35 USC 113) (Total Sheets 7)			
5.	XX	Oath or Declaration (Total Pages <u>5</u>)			
		a Newly Executed (Original or Copy)			
		b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)			
		 i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). c. <u>XX</u> Unsigned. 			
3 .		Application Data Sheet. (37 CFR 1.76)			
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)			
3.	(if applicable	Nucleotide and/or Amino Acid Sequence Submission e, all necessary) Computer Readable Form (CRF)			
	b	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or ii paper Statement verifying identity of above copies			
	<u> </u>	ACCOMPANYING APPLICATION PARTS			
	7				

11/15/00

9. 10.		Assignment Papers (cover sheet & documents(s)) . Separate 37 CFR 3.73(b) Statement (where there is an assignee) . Power of Attorney
11.		nglish Translation Document (if applicable)
12.		. Information Disclosure Statement (IDS)/PTO-1449
		. Copies of IDS Citations
13.		reliminary Amendment
14.	_XX_	eturn Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.		ertified Copy of Priority Document(s) (if foreign priority is claimed)
16.		equest and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form TO/SB/35 or its equivalent.
17.	_XX_	ther: Certificate of Mailing
18A.	If a CON	NUING APPLICATION, check appropriate box and supply the requisite information:
Of I	Co Prior Appli	nuation Divisional Continuation-in-part (CIP) tion No.: Examiner Group Art Unit
(which which	nisao nisao	tinuation/ divisional/ CIP of prior application no, inuation/ divisional/ CIP of prior application no, (List entire chain of priority)
the as	th or decianuation or ied upon to Statemen The undessignee of the copy of wheel the	N AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which tion is supplied under Box 5b, is considered a part of the disclosure of the accompanying risional application and is hereby incorporated by reference. The incorporation can only en a portion has been inadvertently omitted from the submitted application parts. Index 37 CFR 3.73(b) for continuing application: Index 37 CFR 3.73(b) for continuing application
		Number or Bar Code Label
х		Or (Insert Customer No. or Attach Bar Code Label here)
		ndence Address Below
NAME	E <u>Charle</u>	
	BLA	LY, SOKOLOFF, TAYLOR & ZAFMAN LLP
ADDF	RESS _	00 Wilshire Boulevard
	_	venth Floor
CITY	Los Ange	STATE California ZIP CODE 90025-1026
Count	ry <u>U.S</u>	TELEPHONE <u>(408) 720-8300</u> FAX <u>(408) 720-9397</u>
Name	(PRINT/TY	: Charles K. Young Registration No.: 39,435
Signat	ture:	Thanks L. Hang Date: December 15, 2000

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Appl Filin	TOTAL AMOUNT OF PAYMENT (\$) \$710.00 Complete if Known: Application No. Not yet assigned Filing Date Herewith					
	First Named Inventor					
	Examiner Name Not yet assigned					
		t No. <u>42390P</u>				
MET	HOD OF	PAYMENT ((check one)			
1.	[X]	The Comm any over p	nissioner is hereby authorized to charge ayments to:	indicated fees and credit		
			count Number <u>02-2666</u> count Name			
	[]	Charge An	y Additional Fee Required Under 37 CFF	R 1.16 and 1.17		
	[]		claims small entity status. See 37 CFR 1	l.27		
2.	_X_	Payment E	inclosed: X Check Credit Card Money Order Other			
FFF	CALCUL	ATION				
	OALOOL	.AllOlf				
1.	BASIC	FILING FEE				
<u>Large</u> Fee	Entity Fee	Small Entit				
Code		Code (\$)		Fee Paid		
101	710	201 35	5 Utility application filing fee	710.00		
106	320	206 16	3. approximation in ing too			
107 108	490	207 24				
114	710 150	208 35 214 7				
114	100	214 /	5 Provisional application filing fee			
				SUBTOTAL (1) \$		
2.	EXTRA	CLAIM FEE		Fee from		
			Extra Claims	<u>below</u> <u>Fee Paid</u>		
	l Claims		$-20^{**} = 0$	X 18.00 = .00		
		Claims 3	-3** = <u>0</u>	X = 80.00 = .00		
	ple Depe			=		
Larr	number	previously p	paid, if greater; For Reissues, see be	low.		
<u>Large</u> Fee	Entity Fee	Small Entit	•			
Code		Fee Fee Code (\$)				
103	18	117	9 Claims in excess of 20			
102	80	202 4				
104	270	204 135	Multiple dependent claim, if not pai	d		
109	80	209 40	0 **Reissue independent claims over	original patent		
110	18	210	9 **Reissue claims in excess of 20 an	d over original patent		
				SUBTOTAL (2) \$ -0-		

FEE CALCULATION (continued)				
3.	3. ADDITIONAL FEES			
Large	Entity	Small E	Entity	
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description Fee Paid
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive – unavoidable
141	1,240	241	620	Petition to revive - unintentional
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	130	123	130	Petitions related to provisional applications
126 581	180	126	180	Submission of Information Disclosure Stmt
301	40	581	40	Recording each patent assignment per
146	710	246	355	property (times number of properties) For filing a submission after final rejection
149	710	249	355	(see 37 CFR 1.129(a)) For each additional invention to be examined
				(see 37 CFR 1.129(b))
179 169	710 900	279 169	355	Request for Continued Examination (RCE)
109	900	109	900	Request for expedited examination of a design application
Other	fee (speci	fy)		
Other	fee (specit	fy)	-	
				SUBTOTAL (3) \$0
*Reduc	ed by Basic	Filing Fee F	Paid	000101AL (0) 4
SUBM	ITTED B	<u>Y</u> :		
	Typed or Printed Name: Charles K. Young			
Signa				. Young Date: December 15, 2000
Reg. I	Reg. Number: 39,435			

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